

Background

- Neoadjuvant chemotherapy is widely used to downstage breast cancers prior to surgery.
- Pathologic complete response (pCR) rate is a strong predictor of outcome for breast cancer.

Immunoscore®
 The Immunoscore® assay is the first standardized immune-based assay for classification of cancer [Hermitte et al., 2016]. It assesses the host immune response by measuring intra- and peri-tumoral T cell infiltration in formalin-fixed paraffin-embedded (FFPE) tissue sections. Originally developed for colon cancer indication, it is intended to be widely used in solid cancer indications for diagnostic and prognostic purposes, as well as a pharmacodynamic biomarker during drug development processes. As a first clinical validation in breast cancer, we assessed the Immunoscore in a cohort of 103 breast cancer patients, that previously received neo-adjuvant chemotherapy.

Methods

Pathological and clinical assessment

- Clinical assessment of the primary tumour and lymph nodes was made using bi-dimensional caliper measurements of the primary tumour and axillary nodes.
- Sonographical assessments of the primary tumour and lymph nodes were performed regularly.
- Immunohistochemical staining was performed for ER, PR, HER-2 and Ki67.
- Fluorescence in situ hybridization (FISH) was used to confirm HER-2 positivity.
- We analyzed data retrospectively/prospectively on 103 breast cancer patients undergoing neoadjuvant chemotherapy.
- Pathological complete response (pCR) was defined as the complete disappearance of the invasive cancer in the breast and absence of tumour in the axillary lymph nodes.
- Ethics approval was obtained from Pharma-Ethics, Pretoria, South Africa (ethics committee working according to the South African Ethics regulations).
- NCSS software version 11 for Windows (USA) was used for statistical analyses.
- Outcome assessments: Associations of clinical and pathological characteristics including Ki67, CD8+ cytotoxic T cells and CD3+ T cells with pCR.
- All patients were treated with anthracycline and/or taxane-based neoadjuvant chemotherapy.

Immunoscore® Assessment

- In this retrospective analysis, 103 pre-treatment tumour tissue samples were analyzed by immunohistochemistry for density (cells/mm²) of T-cell subsets (CD3+,CD8+).
- CD3 and CD8 staining was performed using Benchmark® XT station on 2 consecutive formalin-fixed paraffin-embedded (FFPE) slides (4 µm).
- Digital pathology-dedicated software permitted the measurement of positive cell densities into interest area (core of the tumour and invasive margin).
- A prespecified bioinformatics algorithm was used to generate a numerical index (Immunoscore®) and analysis cut-offs. Immunoscore® assay measures the density of CD8+ cytotoxic T cells and CD3+ T cells of resected or biopsied cancer samples and performed on FFPE tissue slides.
- Immunoscore® provides 3 score levels (high / intermediary / low).
- Immunoscore® was applied to tumours with invasive margin and was adapted when no invasion was identified on the specimen.

Figure 1. Immunoscore® Assessment.

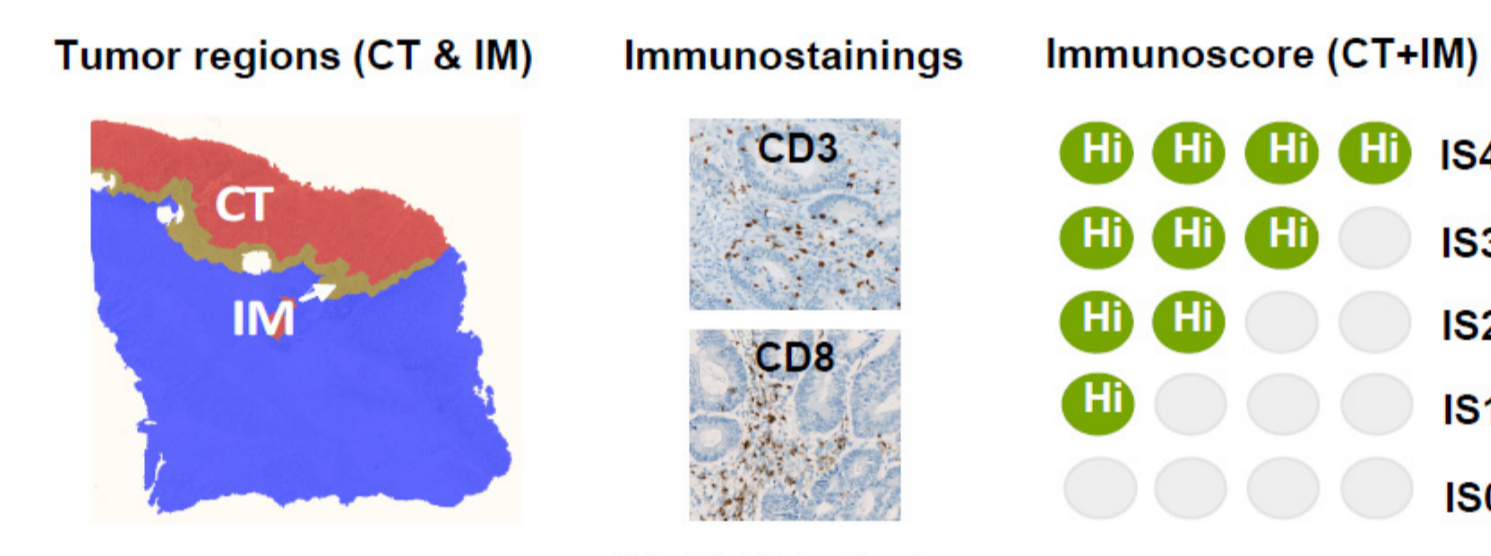


Figure 2. Immunoscore® High.

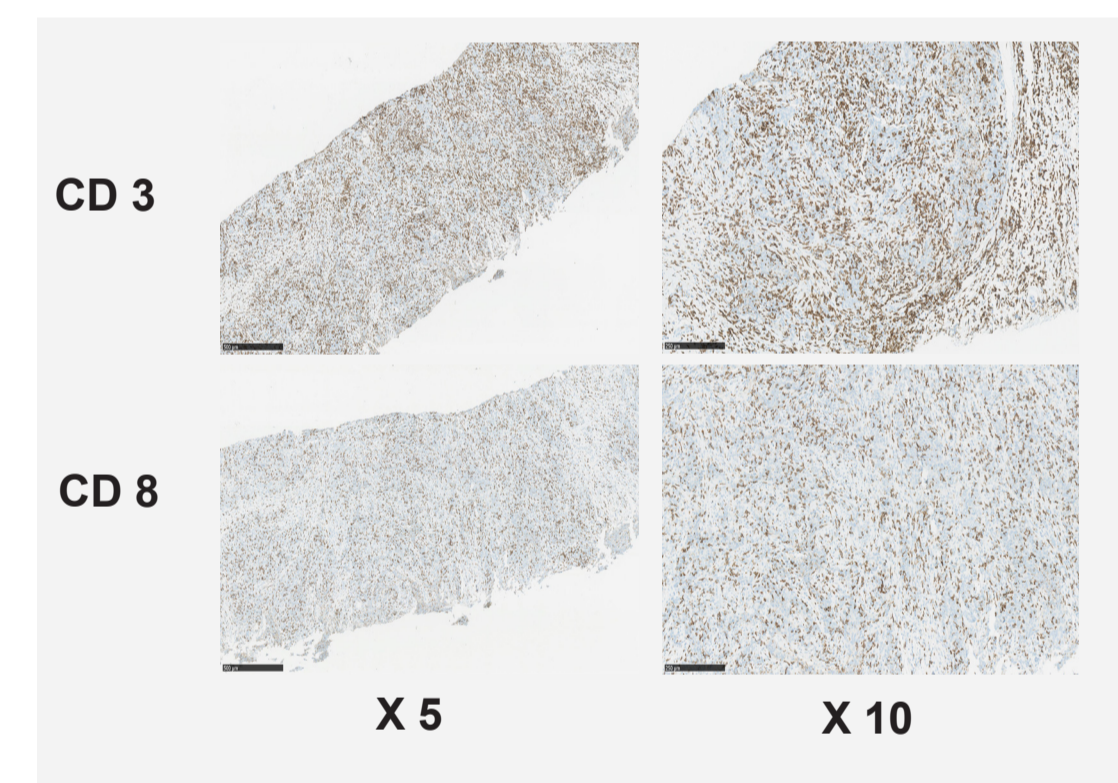


Figure 3. Immunoscore® Low.

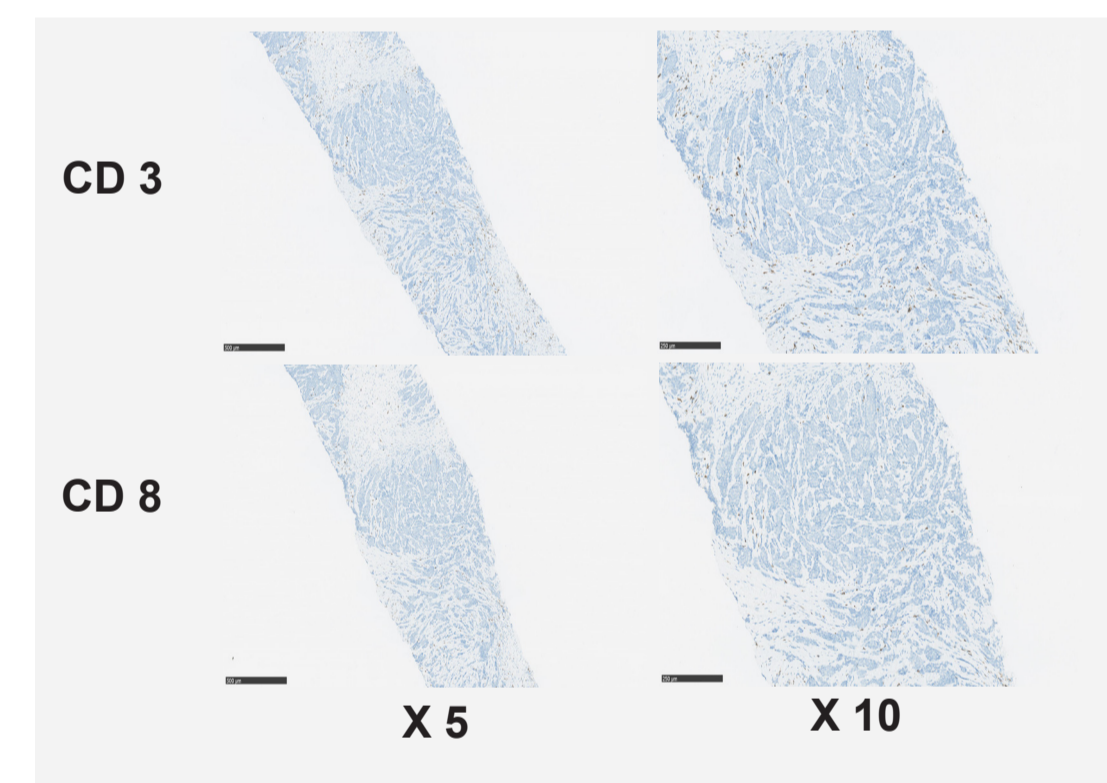
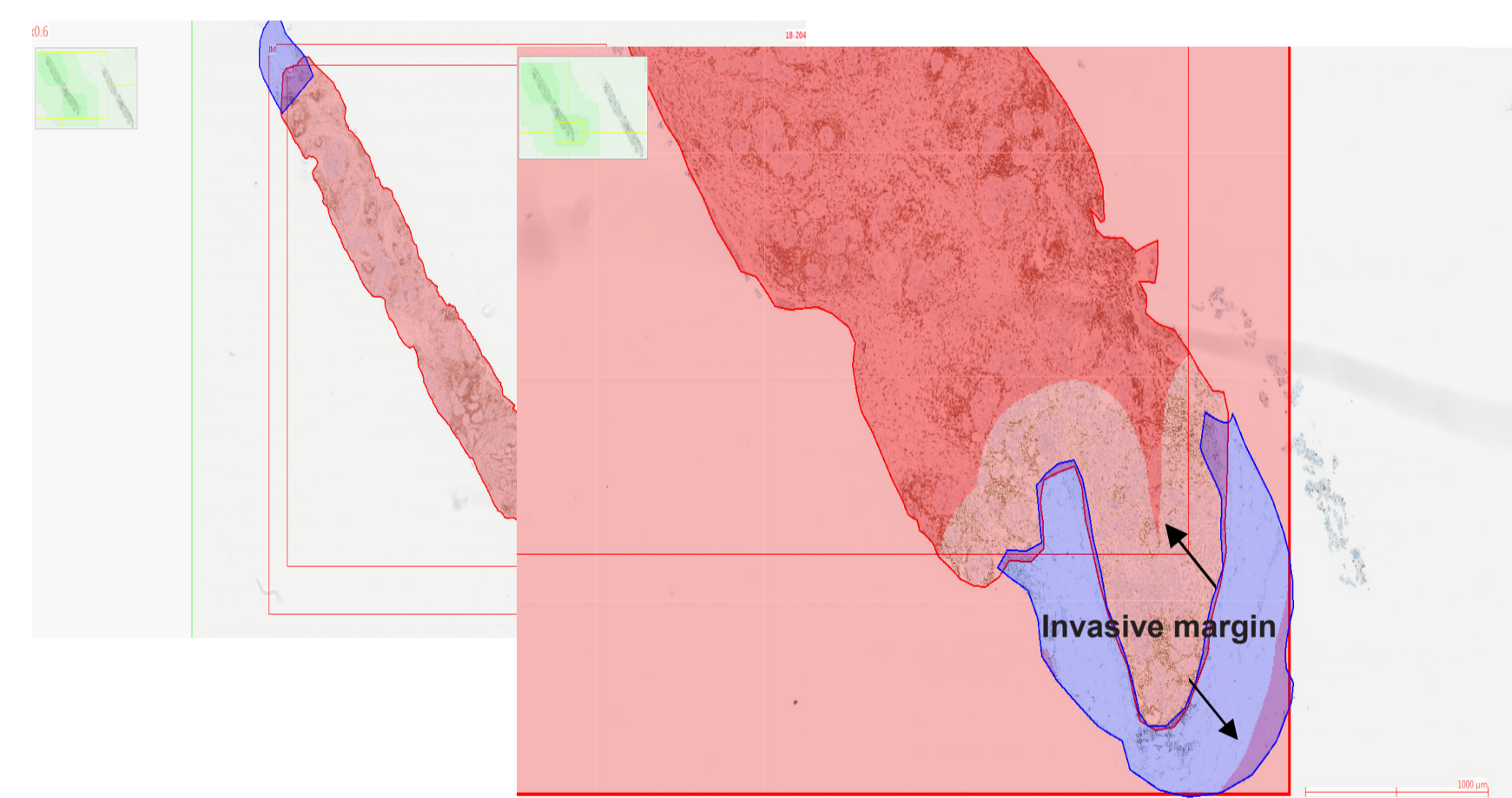


Figure 4. Invasive margin.



Statistical Methods

- The primary hypothesis was that higher levels of CD8+ cytotoxic T cells, CD3+ T cells and Immunoscore® would be associated with a better overall prognosis, independent of anti-cancer therapy.
- The Mann Whitney U-test was used to compare the cell density between TNBC and Non-TNBC patients.
- Receiver-operating characteristic (ROC) curve analysis was used to determine the optimal cut-point for Ki67, CD8+ cytotoxic T cells, CD3+ T cells and Immunoscore®.
- Fisher's exact or Chi-squared tests were used for the analysis of categorical variables.
- Logistic regression multivariate models included only variables that exhibited a univariate association with the dependent variable, pCR (p < 0.1).
- NCSS software version 11 for Windows (USA) was used for statistical analyses.

Patient Characteristics

Table 1. Patient Characteristics.

Age (n=103)		Ki-67 Pre-chemo	
Median Age	52	Median	40
Range	26-84	Range	5-90
Histology		Total	
Total	%	≥40%	50%
Ductal	99	15-39%	37
Lobular	2	< 15%	13
Other	2	Unknown	2
Menopausal Status		Molecular type	
Total	%	Total	%
Pre	41	Luminal A	9
Post	62	Luminal B	23
Tumour Size		HER2 Positive	18
Total	%	TNBC	53
T1	23	CD3 and CD8 Count	
T2	65	Median Cells/mm ²	Range Cells/mm ²
T3 + T4	15	CD3 centre of tumour	884
Nodal Status		CD3 invasive margin	1409
Total	%	CD8 centre of tumour	358
Negative	45	CD8 invasive margin	535
Positive	54	Immunoscore	
Unknown	4	Total	%
Stage		0	8
Total	%	1	9
A1	8	2	48
A2	1	3	35
B1	49	4	3
B2	26		
C1	10		
C2	7		
C3	2		
3	35		
4	3		

Results

T-Cell densities compare between TNBC vs Non-TNBC patients

Figure 5. CD3 - Centre of Tumour.

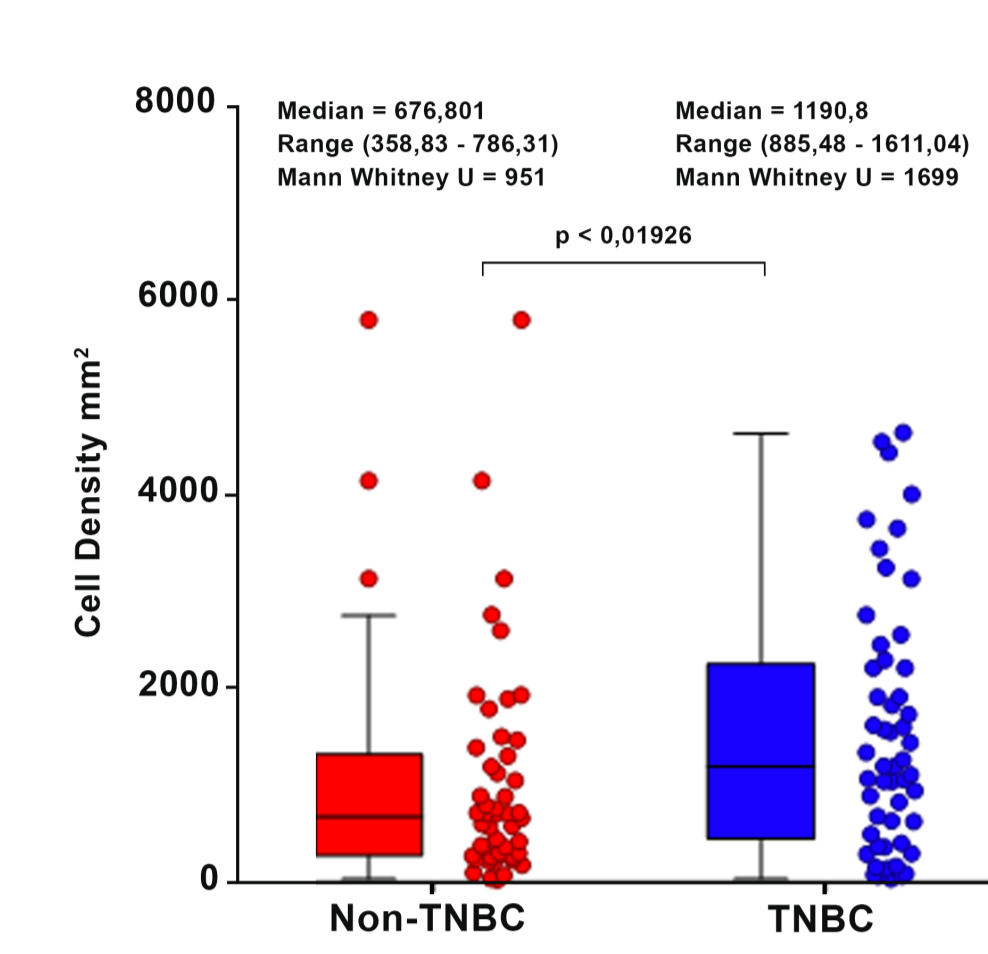


Figure 6. CD3 - Invasive Margin.

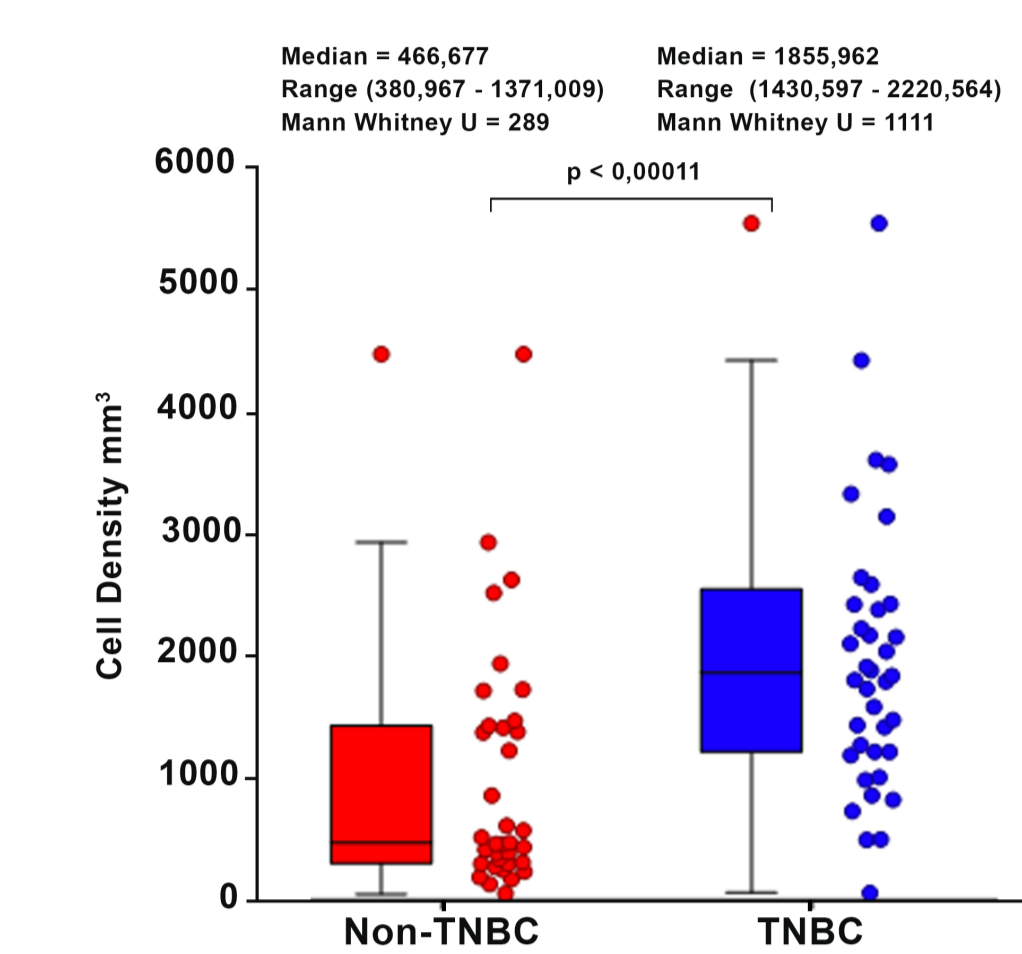


Figure 7. CD8 - Centre of Tumour.

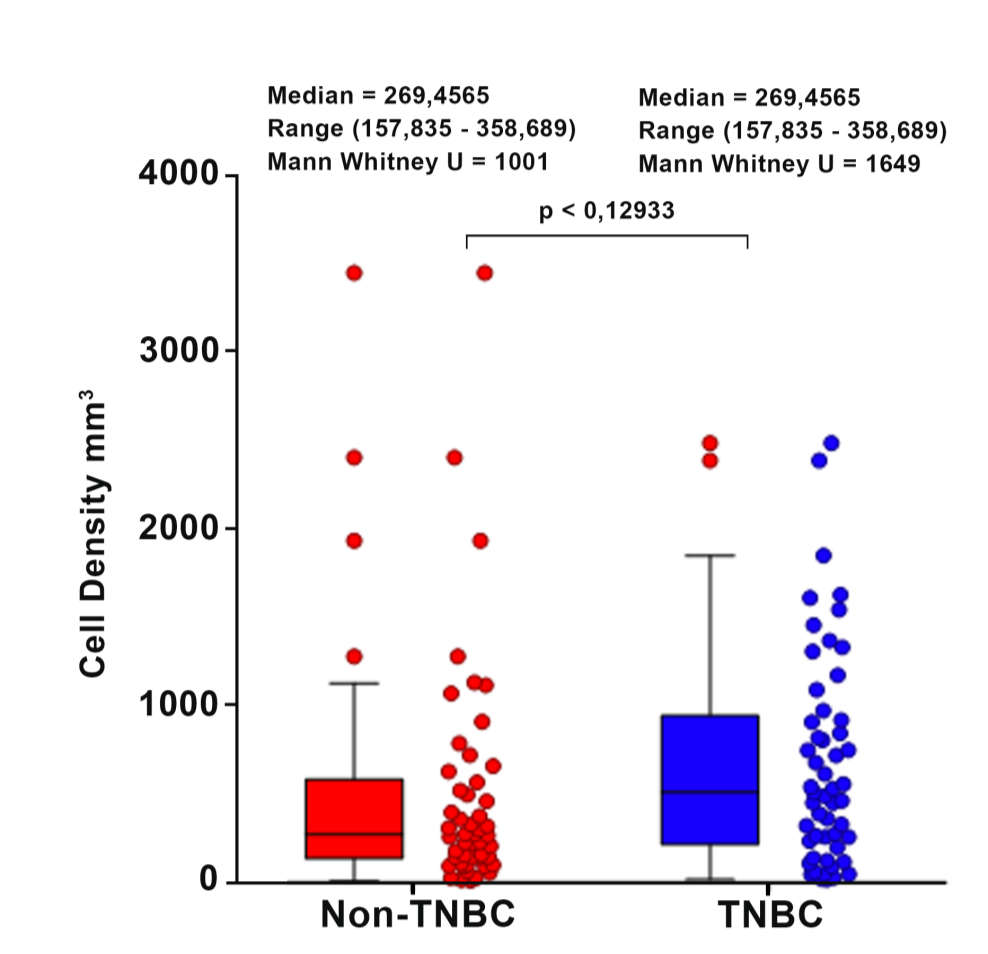
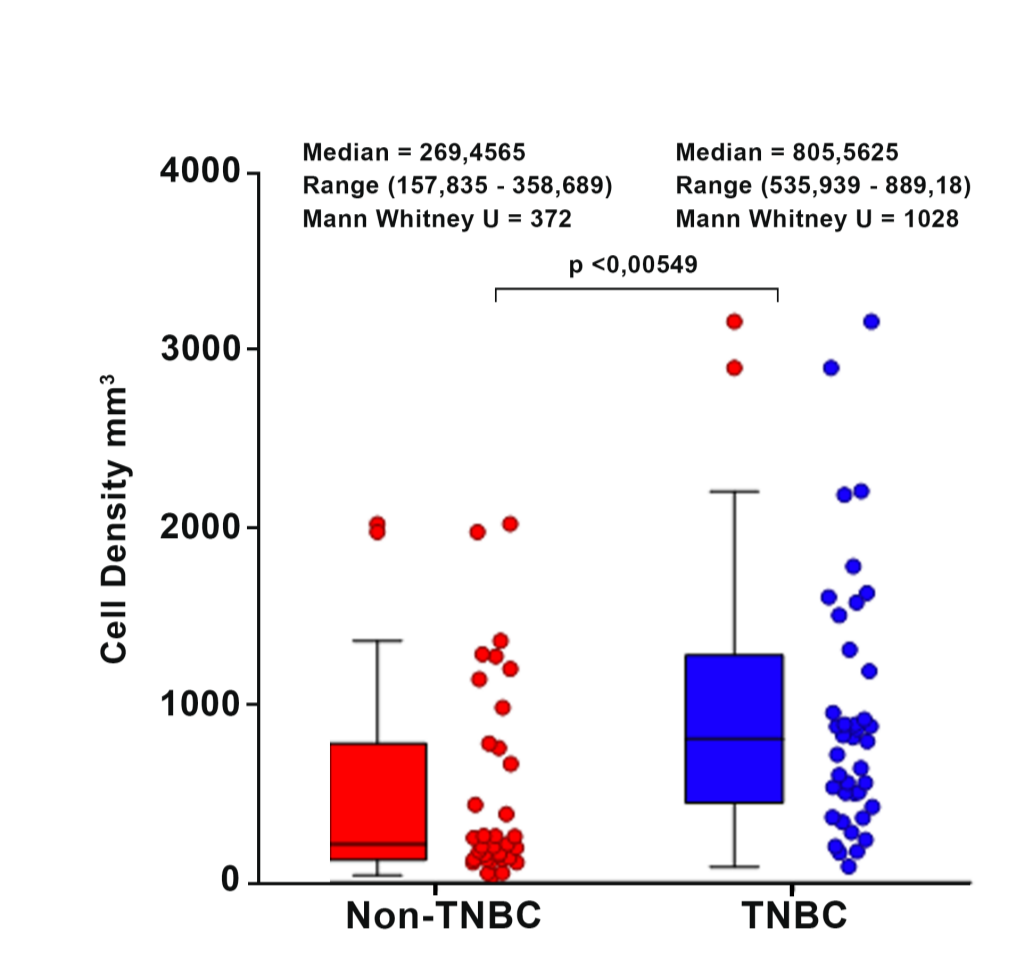


Figure 8. CD8 - Invasive Margin.



ROC Curves

Figure 9. ROC Curve - CD3-Centre of Tumour.

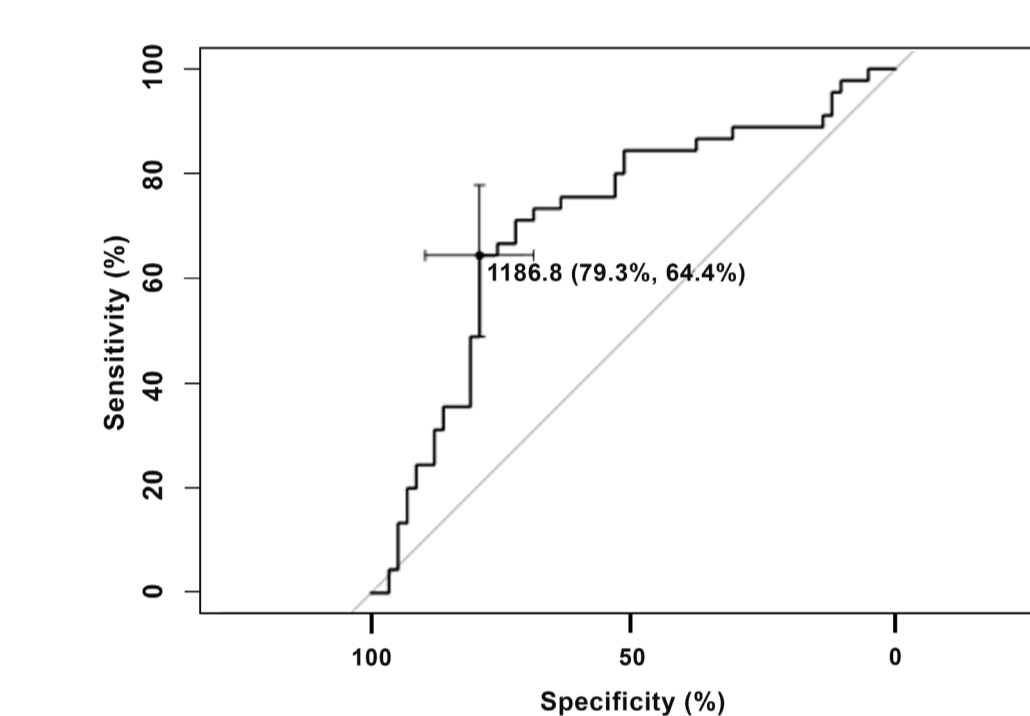


Figure 10. ROC Curve - CD8 - Centre of Tumour.

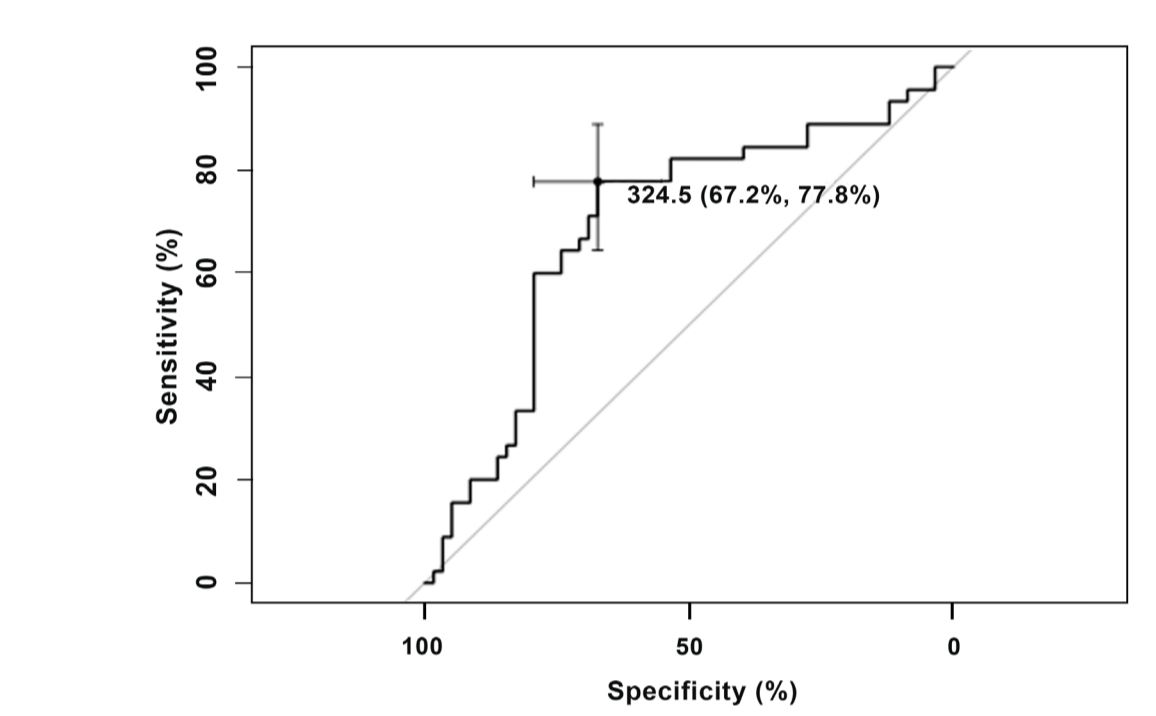


Figure 11. ROC Curve - CD3 - Invasive Margin.

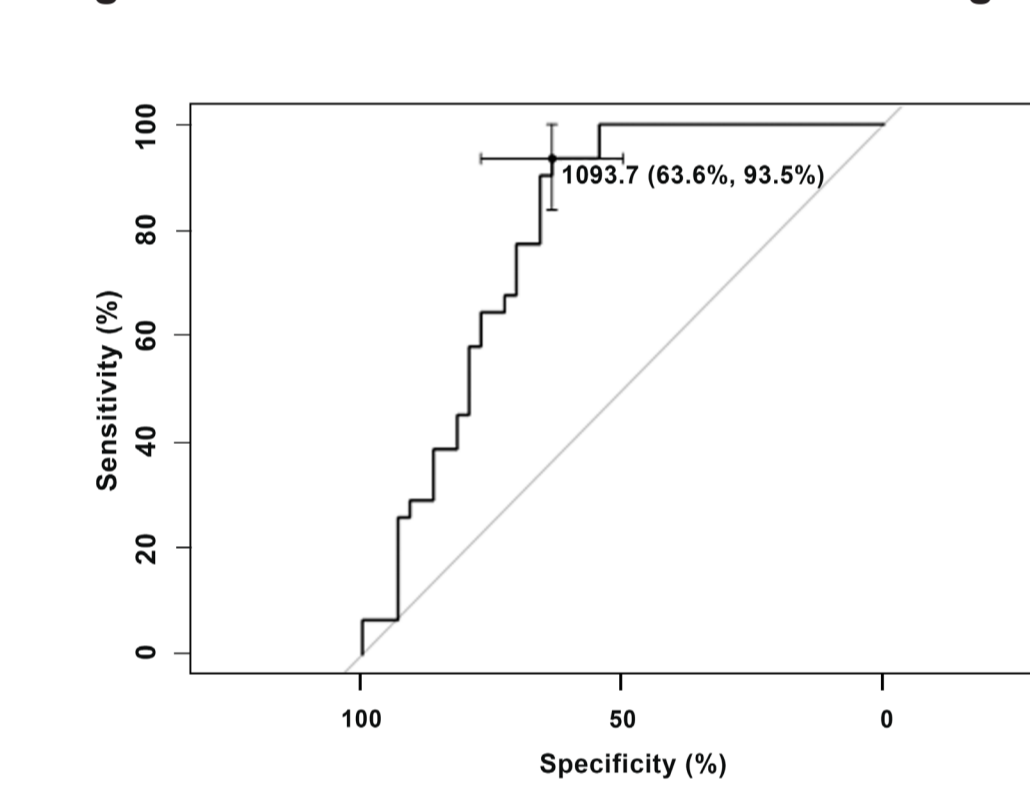


Figure 12. ROC Curve - CD8 - Invasive Margin.

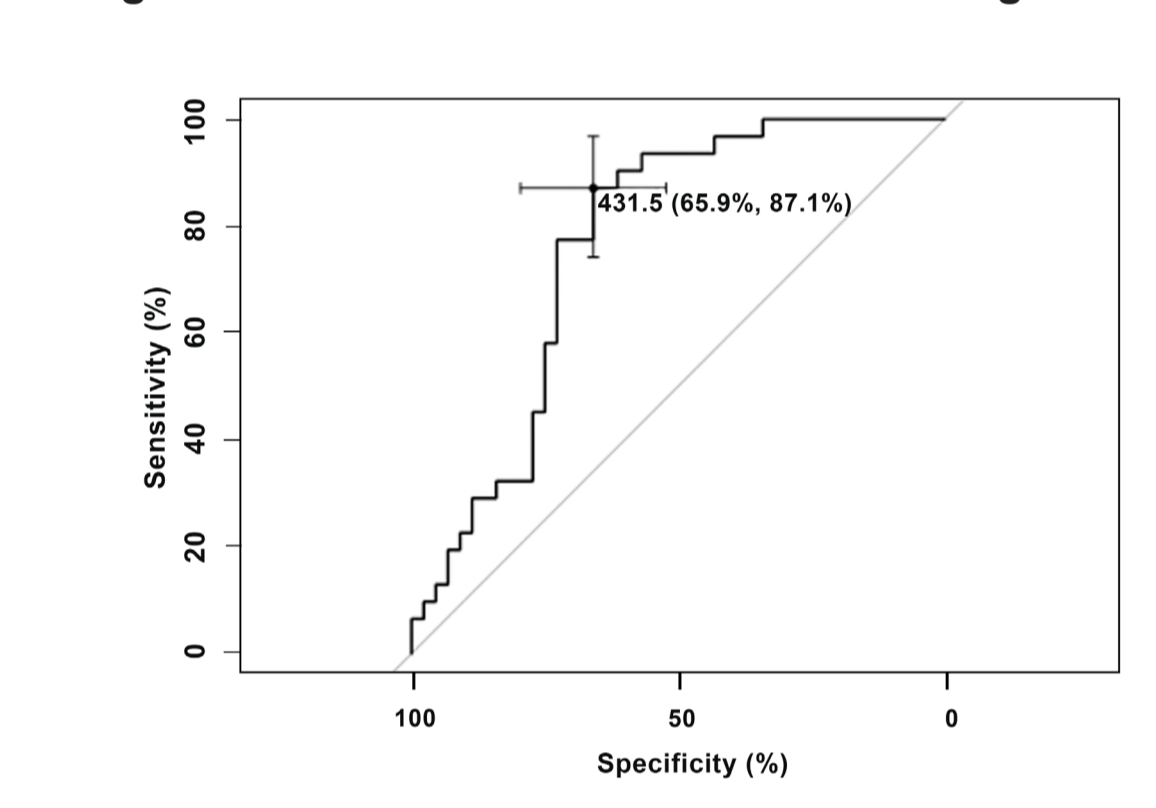
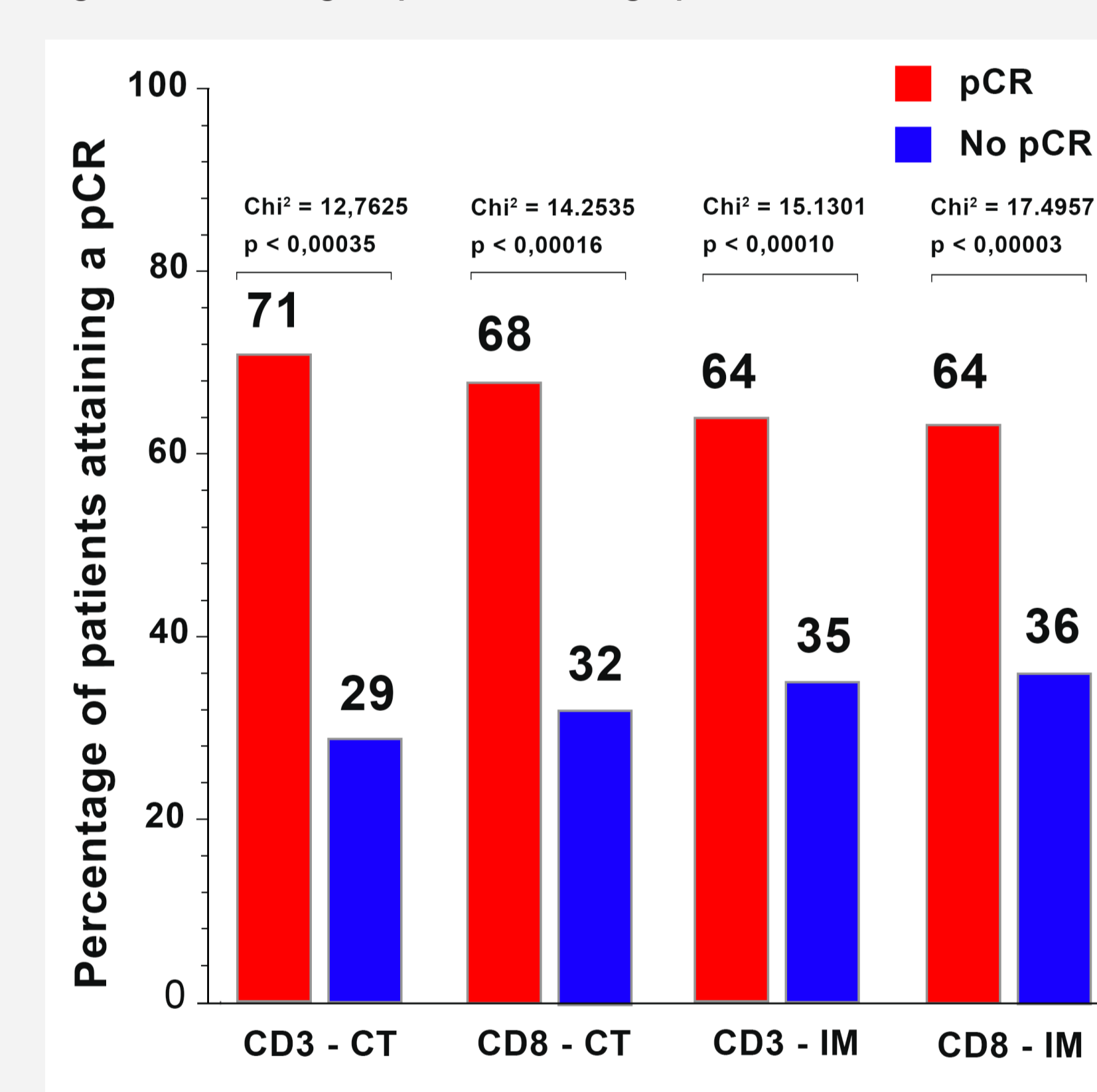


Figure 13. Percentage of patients attaining a pCR.



Univariate Analysis

Table 2. Univariate Analysis - Significant factors associated with pCR.

Factor	Stage	Chi ²	pValue
pCR	1	67%	
	2A	51%	
	2B	42%	9.03
	3	16%	0.02885
ER	Positive	18%	
	Negative	64%	21.80
PR	Positive	13%	
	Negative	61%	22.81
HER2	Positive	67%	
	Negative	51%	0.3531
Molecular type	Luminal	9%	
	HER2 Positive	50%	23.03
	TNBC	62%	0.00001
Ki-67	≥ 40%	57%	
	15-39%	41%	13.84
	< 15%	0%	0.00099
	Unknown	2%	
Immunoscore®	High	63%	
	Intermediate	35%	9.99
	Low	23%	0.00674
Immunoscore®	High	63%	
	Intermediate + Low	32%	9.27

Median cell density in patients with pCR vs non-pCR patients

Figure 14. Median cell density in patients with pCR vs non-pCR patients.

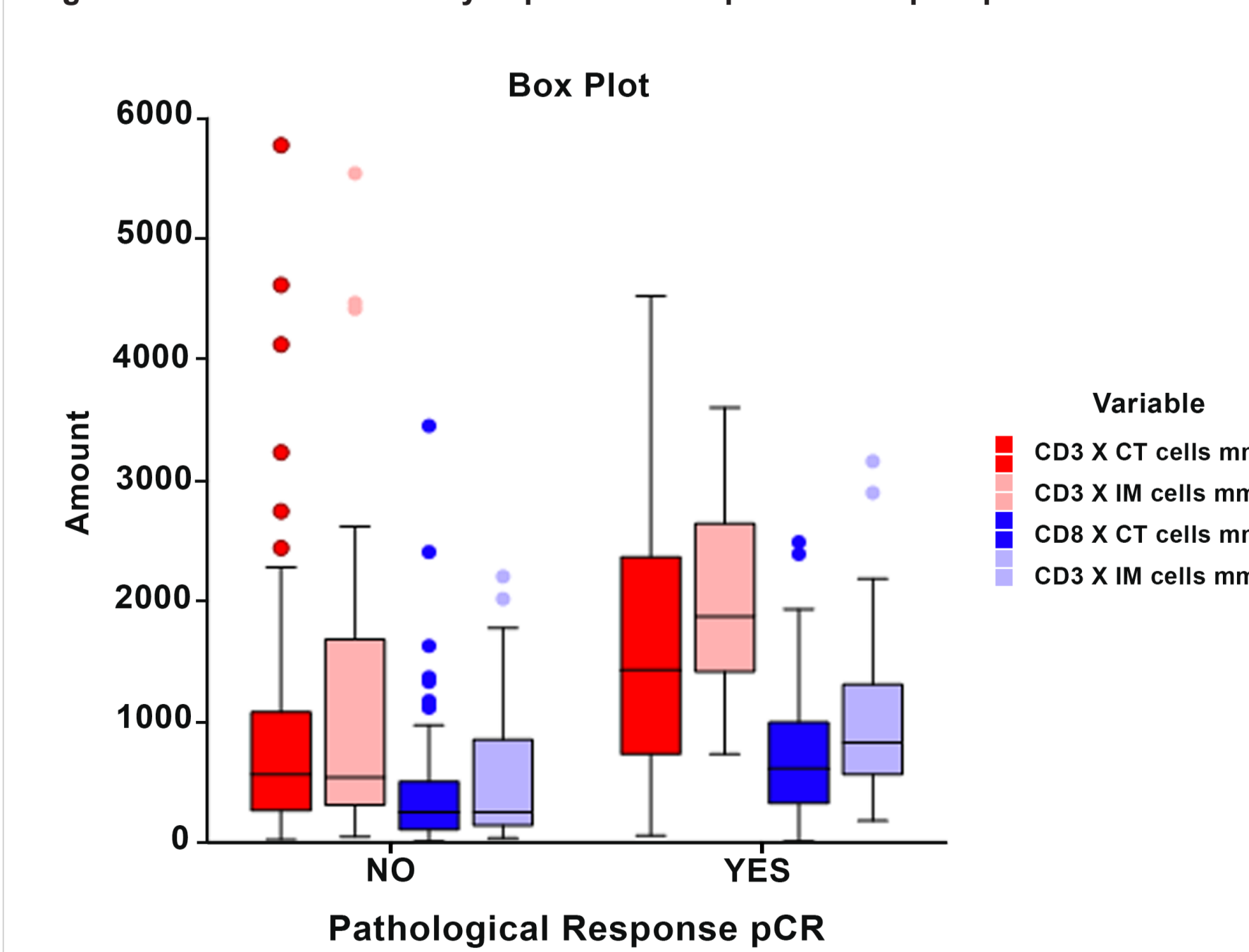


Table 2. Median cell density in patients with pCR vs non-pCR patients.

Outcome	Median	CI (95.0%)	p-value
CD3 Centre of Tumour	No pCR	567,559	358.83 - 753.29
	pCR	1432,01	1103.19 - 1900
CD3 Invasive Margin	No pCR	540,828	431.97 - 1211.749
	pCR	1877,745	1430.597 - 2418.445
CD8 Centre of Tumour	No pCR	246,0505	154,086 - 307,483
	pCR	614,485	450,177 - 749,512
CD8 Invasive Margin	No pCR	255,148	175,811 - 425,343
	pCR	827,267	643,216 - 1189,143

Conclusions

- These results revealed a significant prognostic role for the spatial distributions of the CD3+, and CD8+ lymphocytes, as well as the ISCR in relation to pCR following neo-adjuvant chemotherapy