



Systemic levels of the soluble co-inhibitory and co-stimulatory immune checkpoint molecules in basal cell carcinoma.

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CD86

GITRL S

CTLA-4

Background

- ▶ Basal cell carcinoma (BCC) is the most common malignancy, comprising about 75 % of all cases of skin cancer, and the incidence is rising^{1,2}.
- ▶ BCC rarely metastasizes and the mortality rate is low; however, the disease is associated with substantial morbidity³.
- ▶ The hedgehog intracellular signalling pathway regulates cell growth, and aberrant activation of this pathway leads to BCC development³. The hedgehog inhibitors vismodegib and sonidegib are currently approved for systemic therapy of BCC in Europe^{3,4,5}.
- ▶ Hedgehog-dependent tumors are characterized by increased infiltration or the presence of suppressive immune cells, such as M2-like tumor-associated macrophages (M2-TAMs), myeloidderived suppressor cells (MDSCs), regulatory T (Treg) cells, and cancer-associated fibroblasts (CAF)⁶⁻¹⁰.
- ▶ BCC is associated with increased numbers of regulatory cells (Tregs) and a CAF-induced immunosuppressive microenvironment¹¹⁻¹⁴.
- ▶ Checkpoint proteins are critical for maintaining self-tolerance and modulating the immune responses of effector cells in normal tissues to minimize tissue damage. These proteins also modulate the immune infiltrates in the tumor microenvironment (TME). Cancer cells exploit the up-regulation or down-regulation of these proteins to evade the anti-tumor immune response^{15,16}.
- ▶ Soluble forms of immune checkpoint molecules (ICMs) have recently been identified and can be measured in human plasma; however, their biological and clinical significance remains essentially unknown^{17,18}. Co-inhibitory immune checkpoint proteins are primarily involved in promoting inhibitory cell-cell interactions in adaptive immunity, especially tumor immunity.
- The soluble cell-free variants of these molecules are detectable in the circulation of cancer patients where they retain immunosuppressive activity.
- ▶ Little is known about the systemic levels of these soluble co-inhibitory and co-stimulatory immune checkpoints in patients with various subtypes of basal cell carcinoma (BCC), which is the most invasive and treatment-resistant type of this most commonly occurring malignancy.
- ▶ Our previous research found significantly elevated levels of PD-1, PDL-1, CTLA-4, TIM-3, and LAG-3 in BCC patients and the current study was undertaken to investigate 16 ICM proteins as well as RANTES, FAP, TGF-β1 and arginase.

Methods

- ▶ The study population consisted of a total of 40 South African patients (12F:28M; mean age ±SD: 69.1 ± 11.1 years) with advanced BCC attending the Dermatology Screening Clinic at Steve Biko Academic Hospital, Pretoria, South Africa.
- ▶ The cohort was compared a group of control patients (n=20).
- ▶ The circulating levels of 17 immune checkpoint-related proteins panel (B- and T-lymphocyte attenuator (BTLA), Glucocorticoid-Induced TNFR-Related protein (GITR), GITR-ligand (GITRL), Herpes Virus Entry Mediator (HVEM), Lymphocyte activation gene-3 (LAG-3), PD-1, PD-L1, PD-L2, T cell immunoglobulin-3 (TIM-3), CD27, CD28, CD80, CD86, CD40, ICOS, TLR-2, and CTLA-4) were profiled in advanced BCC patients (patient characteristics are summarized in table 1) and compared to those of 20 healthy controls.
- ▶ Additionally, we measured plasma levels of arginase, CCL5 (RANTES), TGF-β1 and fibroblast associated protein (FAP).
- ▶ A combination of multiplex bead array, laser nephelometry and ELISA technologies were used.
- ▶ Ethics approval was granted by the Research Ethics Committee of the Faculty of Health Sciences,

Statistical Analysis

- ▶ The primary hypothesis was that that there was a significant difference in the plasma levels of soluble co-inhibitory immune checkpoints between BCC patients and healthy controls.
- Descriptive statistics were used to tabulate patient characteristics.
- The Mann Whitney U-test was used to compare levels of the various test biomarkers between BCC patients and healthy controls.
- ▶ The area under the ROC curve (AUC) was used as a measure of discriminatory ability for the biomarkers. The Youden index, a summary measure of the ROC curve, was used as an agnostic method for choosing an optimal cut-off value on the biomarker value to illustrate potential clinical usefulness.
- A correlation matrix report was used to identify correlations between variables (or subsets of variables) within the subset, using Spearman p-values to define significance.
- ▶ A p-value of less than .05 was considered statistically significant.
- NCSS 2021 software for Windows (USA) was used for statistical analyses.

Results

Table 2. Numbers of patients with basal clinical types of basal cell carcinoma (BCC). cell carcinomas at distinct anatomical

| Clinical subtype of BCC | | Anatomical site | | |
|--|-----------------|----------------------|----------------|--|
| Adenoid | (n=1)* | Cheek | (n=3)*,+ | |
| Basosquamous | (n=3) (n=22) | Chest | (n=2) (n=4) | |
| · | | Ear | | |
| Infiltrating | | Forearm | (n=4) | |
| Infiltrating with squamous differentiation | (n=4) | Forehead | (n=2) | |
| Keratotic | (n=1) | Lower limb | (n=5) | |
| Micronodular | (n=2) | Neck | (n=2) | |
| Nodular | (n=5) (n=1)+ | Nose | (n=13)° | |
| 1.00 | | Shoulder | (n=1) | |
| Pigmented | | Temple | (n=2) | |
| Superficial | (n=1)° | Upper anterior chest | (n=2) | |

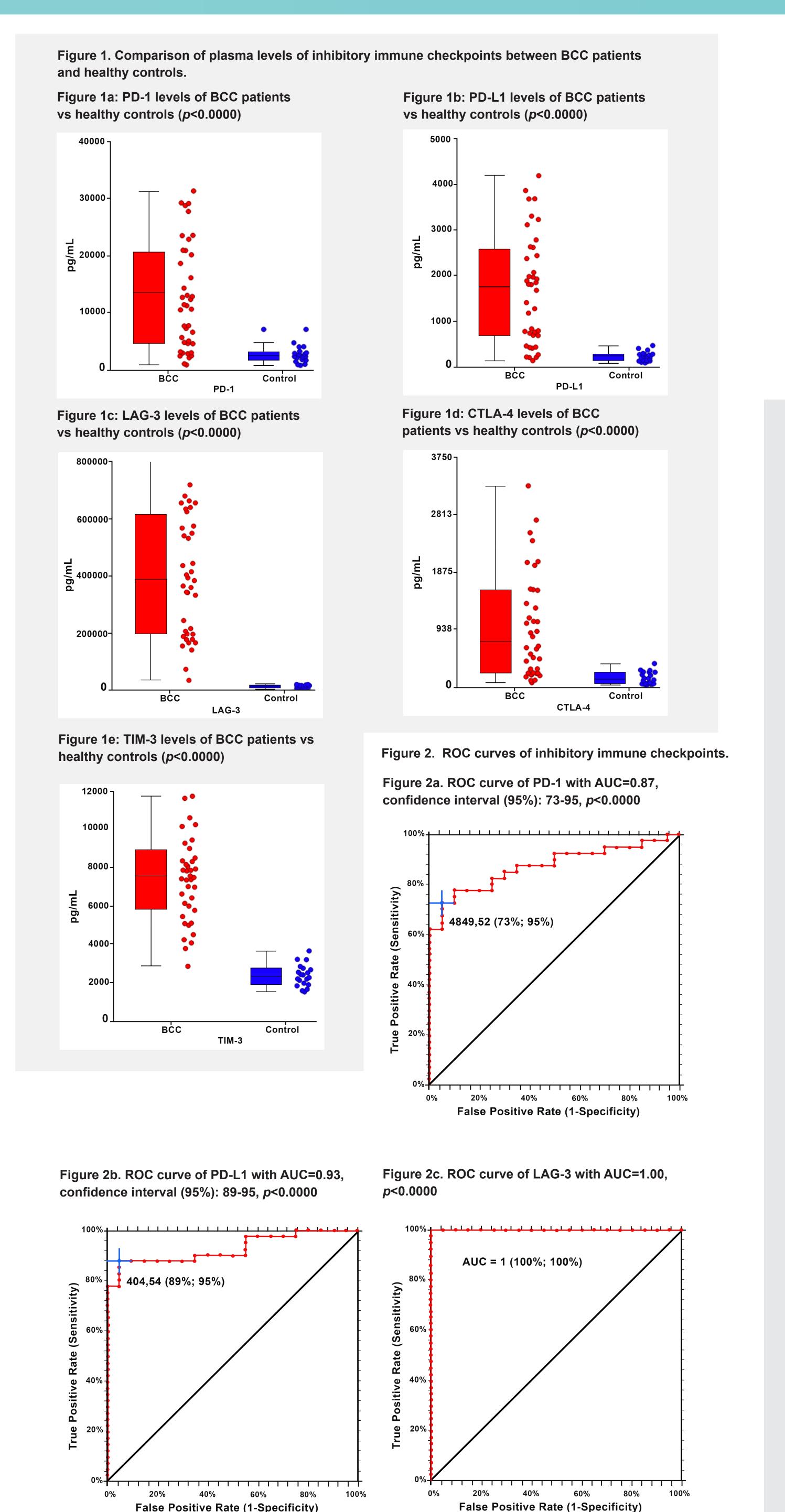
*Numbers of patients are shown in parenthesis; *African patient; oAsian patient

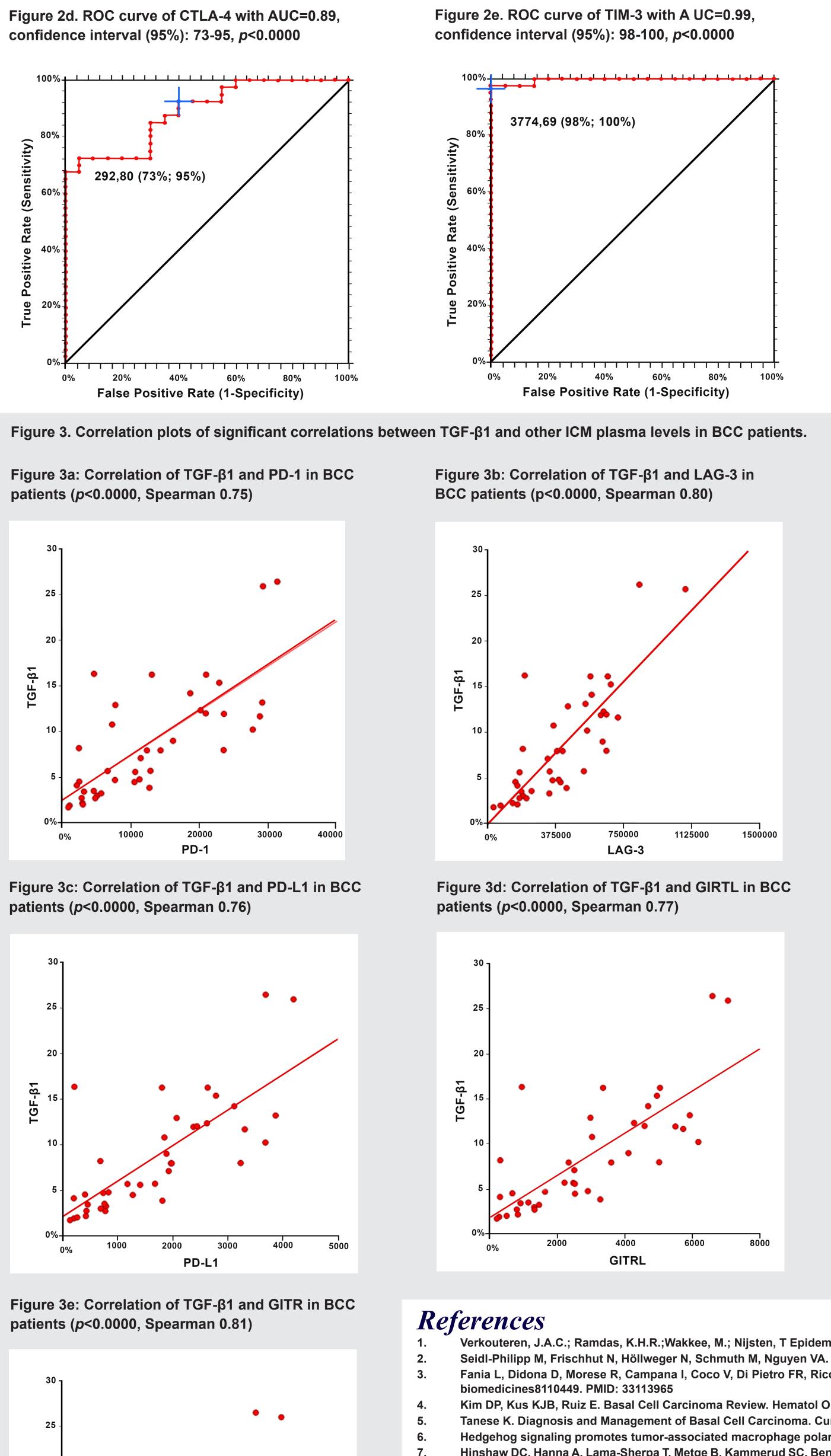
Table 3. Comparison of the systemic concentrations of co-inhibitory, and costimulatory soluble immune checkpoint proteins in patients with advanced basal cell carcinoma and control participants.

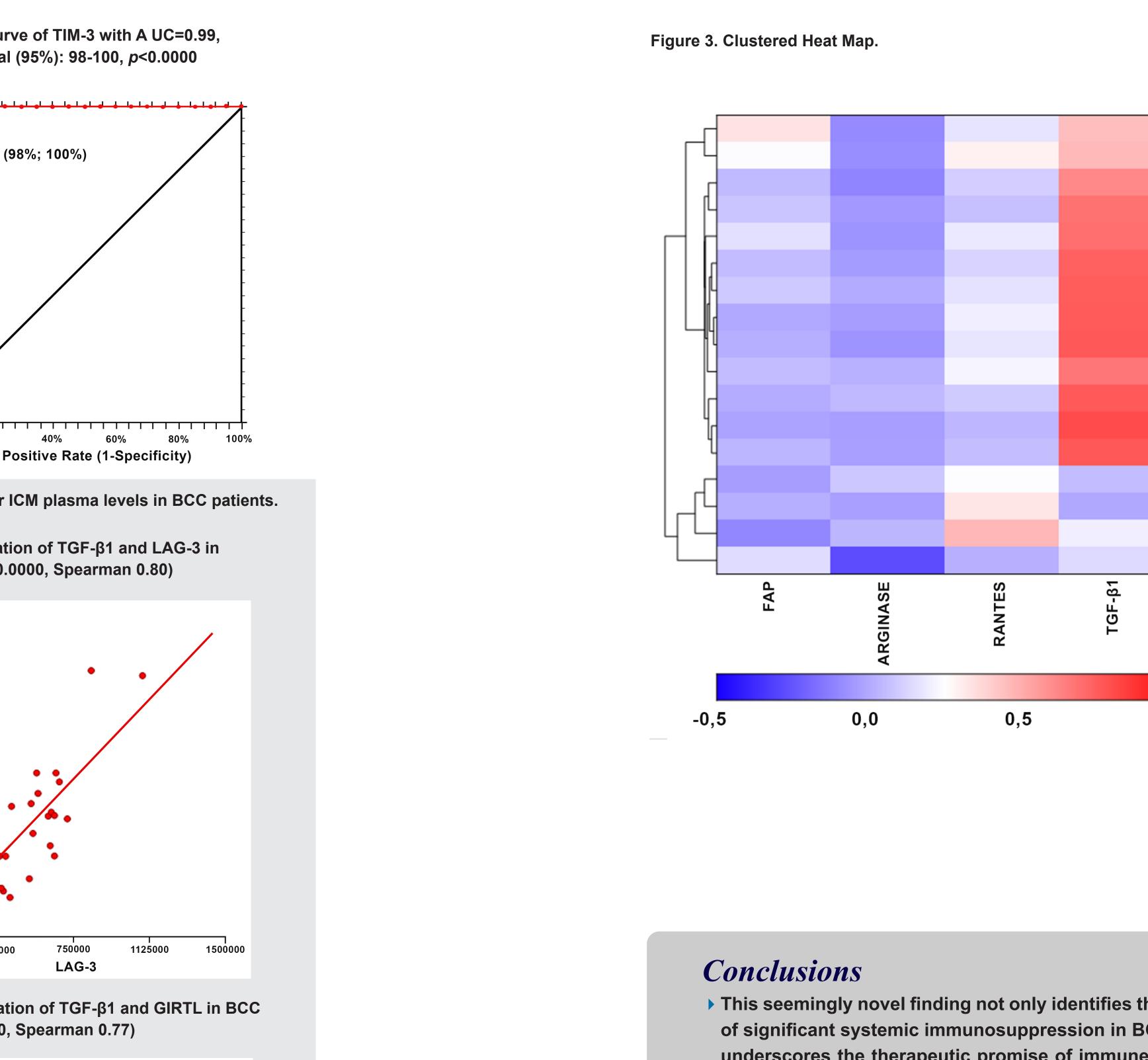
| | | | BCC (n=40) | Controls (n=20) | |
|----------------|----------|------|------------------------------------|-----------------------------------|---------|
| | ICM | | Median pg/ml (95%Cl) | Median pg/ml (95%Cl) | p value |
| Co-stimulatory | CD27 | UP | 3360,665 (2363,64 - 4970,73) | 1410,54 (1259,16 - 2172,74) | 0,0002 |
| | CD28 | UP | 17047,05 (8487,16 - 30677,1) | 11314,17 (7236,45 - 14883,36) | 0,2523 |
| | CD40 | UP | 1308,5 (968,17 - 1779,77) | 1222,255 (769,43 - 1349,26) | 0,4148 |
| | ICOS | UP | 15359,79 (7591,11 - 20308,75) | 12902,86 (7980,59 - 15316,53) | 0,3428 |
| | GITR | UP | 1217,4 (664,31 - 1795,54) | 698,205 (228,01 - 1222,24) | 0,0538 |
| | GITRL | UP | 2527,32 (1470,48 - 3599,4) | 2107,325 (1784,1 - 2724,34) | 0,3799 |
| | CD86 | UP | 2215,865 (793,93 - 3292,67) | 1636,65 (781,54 - 2144,3) | 0,2427 |
| | CD80 | UP | 1450,26 (863,6 - 2161,26) | 1212,29 (781,71 - 1590,1) | 0,3428 |
| Co-inhibitory | PD-1 | UP | 10978,21 (5714,49 - 14351,17) | 2524,69 (1832,95 - 3038,34) | 0,0000 |
| | PD-L1 | UP | 1740,25 (773,982 - 1980,649) | 228,67 (139,61 - 274,66) | 0,0000 |
| | PD-L2 | UP | 14705,27 (13102,68 - 16375,87) | 12008,07 (10670,4 - 14023,9) | 0,0011 |
| | CTLA-4 | UP | 744,92 (422,08 - 1129,16) | 126,49 (56,24 - 241,25) | 0,0000 |
| | TIM-3 | UP | 7519,74 (6619,886 - 8157,926) | 12008,07 (10670,4 - 14023,9) | 0,0000 |
| | LAG-3 | UP | 388288,90 (243248,3 - 540480,6) | 11106,96 (6595,67 - 15093,31) | 0,0000 |
| | BTLA | DOWN | 12284,97 (8754,07 - 19151,59) | 25439,74 (17274,69 - 32427,56) | 0,0061 |
| Other | TLR-2 | UP | 17696,28 (10473,49 - 24211,18) | 15731,88 (12262,72 - 19913,19) | 0,6437 |
| | HVEM | UP | 2052,45 (1894,5 - 2317,55) | 1299,11 (1263,46 - 1458,94) | 0,0000 |
| | Arginase | | 25,52 (25,52 - 29,8505) | 25,52 (25,52 - 72,15) | 0,2897 |
| | RANTES | UP | 131,46 (97,25 - 174,9144) | 90,83 (70,78 - 148,71) | 0,2097 |
| | TGF-β1 | UP | 7,54 (4,549417 - 10,79543) | 5,83 (4,18 - 6,83) | 0,1469 |
| | FAP | UP | 115,67 (94,02 - 130,19) | 109,04 (70,83 - 127,33) | 0,2425 |

Table 4. ROC curve cut-off values (using Youden Index) and AUC (95% CI) for immune checkpoint molecules

| Check | ible Immune point Molecule (pg/mL) | AUC (CI 95%) | Cut-off point (pg/mL) | Sensitivity (TPR) % | Specificity (TNR) % | ρ≤ |
|----------------|--|--------------|--------------------------|------------------------|------------------------|--------|
| | CD27 | 0,204 | ≤ 2989,38 | 40 | 100 | 1,0000 |
| | CD28 | 0,591 | ≥ 20005,17 | 48 | 75 | 0,1066 |
| 2 | CD40 | 0,565 | ≥ 1701,52 | 40 | 90 | 0,1971 |
| ulato | ICOS | 0,576 | ≥ 17225,11 | 48 | 85 | 0,1505 |
| Co-stimulatory | GITR | 0,654 | ≥ 2001,53 | 33 | 100 | 0,0158 |
| ပိ | GITRL | 0,570 | ≥ 4107,31 | 33 | 100 | 0,1692 |
| | CD86 | 0,593 | ≥ 2609,66 | 45 | 90 | 0,0998 |
| | CD80 | 0,576 | ≥ 2200,90 | 33 | 100 | 0,1491 |
| | PD-1 | 0,874 | ≥ 4849,52 | 73 | 95 | 0,0000 |
| | PD-L1 | 0,926 | ≥ 404,54 | 89 | 95 | 0,0000 |
| ory | PD-L2 | 0,761 | ≥ 11788,96 | 90 | 50 | 0,0000 |
| Co-inhibitory | CTLA-4 | 0,889 | ≥ 292,80 | 73 | 95 | 0,0000 |
| Co-in | TIM-3 | 0,996 | ≥ 3774,69 | 98 | 100 | 0,0000 |
| | LAG-3 | 1,000 | ≥ 33502,53 | 100 | 100 | 0,0000 |
| | BTLA | 0,281 | ≥ 15585,46 | 43 | 20 | 0,9991 |
| <u>a</u> | TLR-2 | 0,537 | ≥ 22486,86 | 40 | 90 | 0,3081 |
| Dual | HVEM | 0,916 | ≥ 1524,59 | 90 | 90 | 0,0000 |
| | Arginase | 0,420 | ≥ 36,62 | 23 | 55 | 0,8537 |
| Other | RANTES | 0,600 | ≥ 198,72 | 33 | 95 | 0,0861 |
| | TGF-β1 | 0,616 | ≥ 8,21 | 43 | 100 | 0,0542 |
| | FAP | 0,593 | ≥ 141,20 | 33 | 100 | 0,1047 |







- ▶ This seemingly novel finding not only identifies the existence of significant systemic immunosuppression in BCC, but also underscores the therapeutic promise of immune checkpoint targeted therapy.
- ▶ The study demonstrates the potential of these proteins to serve as prognostic/predictive biomarkers in BCC.
- ▶ The therapeutic potential of dual targeting of PD-1 and TIM-3 or LAG-3 in this condition, as well as treatment with checkpoint inhibitors early in the course of the disease, is warranted.
- We found plasma levels of TGF-β1, as a biomarker for Tregs, showing significant positive correlations with GITR, GITRL, LAG-3 PD-1, PD-L1, CD80, and CD86.
- ▶ There were no correlations found between any of the ICMs and FAP, arginase or RANTES respectively.
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